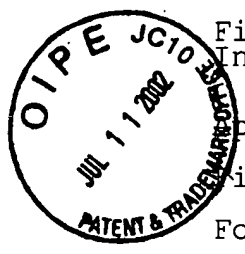IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor   : Ye Yi Wang

Appln. No. : 09/934,223

Filed   : August 21, 2001 | Group Art Unit: 2641
For   : METHOD AND APPARATUS FOR | Examiner: ---
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Docket No.: M61.12-0360

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Sir:

   The patents or publications listed on the enclosed PTO
Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of
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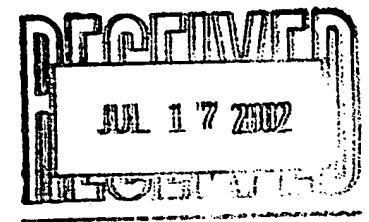
   The Director is authorized to charge any fee deficiency
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                    Respectfully submitted,

                    WESTMAN, CHAMPLIN & KELLY, P.A.

               By: _____
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TMM:ajm

FORM PTO-1449	Atty. Docket No.: M61.12-0360	Appl. No.: 09/934,223
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor: **Ye Yi Wang**	
	Filing Date	Group Art:
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U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
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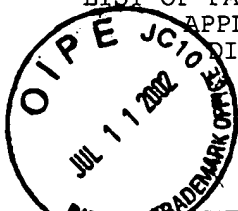
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AJ	Alabiso, B. et al. "LEAP: Language Enabled Applications," Proceedings of the First Workshop on Human-Machine Conversation, Bellagio, Italy (July 1997).
	AK	Lavie, A., "GLR*: A Robust Parser for Spontaneous Spoken Language," Proceedings of ESSLLI-96 work-shop on Robust Parsing, Prague, Czech Republic (August 1996).
	AL	Miller, S. et al., "Hidden Understanding Models of Natural Language," Proceedings of the 31st Annual Meeting of the Association for Computational Linguistics, New Mexico State University (1994).
	AM	Ward, W., "The CMU Air Travel Information Service: Understanding Spontaneous Speech," Proceedings of the DARPA Speech and Natural Language Workshop (1990).
	AN	Ward, W. "Understanding Spontaneous Speech: the Phoenix System," IEEE International Conference on Acoustics, Speech and Signal Processing (1991).
	AO	Microsoft Speech SDK with SAPI 5.0, 94 pages (September 1999).
	AP	Wang, K., "Implementation of Multimodal Dialog System Using Extended Markup Languages," 6 pages (undated). "

EXAMINER:	DATE CONSIDERED:

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FORM PTO-1449	Atty. Docket No.: M61.12-0360	Appl. No.: 09/934,233
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	Ye Yi Wang	
	Filing Date	Group Art:
	August 21, 2001	**2641**

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
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FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	BH						
	BI						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	BJ	Wang, K. , " A Plan-based Dialog System With Probabilistic Inferences," 5 pages.
	BK	Wang, K., "An Event-Driven Model for Dialogue System," Proc. ICSLP-98, (Dec. 1998).
	BL	Gorrin, A. et al., "How May I Help You?" Speech Communications, Vol. 23, (1997).
	BM	"A Stochastic Model of Human-Machine Interaction for Learning Dialog Strategies," IEEE Transactions on Speech and Audio Processing, Vol. 8, No. 1, pages 11-23, (January 2000).
	BN	"The Thoughtful Elephant: Strategies for Spoken Dialog Systems," IEEE Transactions on Speech and Audio Processing, Vol. 8, No. 1, pages 51-62, (January 2000).
	BO	"JUPITER: A Telephone-Based Conversational Interface for Weather Information," IEEE Transactions on Speech and Audio Processing, Vol. 8, No. 1, pages 85-96, (January 2000).
	BP	Wang, Y. "Robust Language Understanding in MIPAD," 4 pages (undated).

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